

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/AU2004/001072

## A. CLASSIFICATION OF SUBJECT MATTER

Int. Cl. <sup>7</sup>: G06F 17/60

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
 USPTO, DWPI, internet (stocks, shares, securities, portfolio, investment, momentum, trend, relative strength, SMS, text message, cell phone, mobile phone, email, alert, price, etc.)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 03/065254 A1 (THE CUBE FINANCIAL GROUP PTY LTD) 7 August 2003 whole document (especially page 12, lines 13-22 and page 16, line 8 – page 17, line 7)	1-4, 10
X	WO 97/41654 A1 (TELEFONAKTIEBOLAGET LM ERICSSON) 6 November 1997 the whole document (in particular, page 12, lines 8-9)	1-4, 10
X	US 2003/0028461 A1 (KOHORN) 6 February 2003 the whole document (paragraphs 11-13 in particular)	1-4, 10
X	WO 01/42884 A2 (FINKELMAN) 14 June 2001 pp. 13-14, "Investor Return Met"	1-4, 10

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Further documents are listed in the continuation of Box C

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See patent family annex

* Special categories of cited documents:	
"A" document defining the general state of the art which is not considered to be of particular relevance	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"E" earlier application or patent but published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"O" document referring to an oral disclosure, use, exhibition or other means	"&" document member of the same patent family
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search  
27 September 2004

Date of mailing of the international search report

30 SEP 2004

Name and mailing address of the ISA/AU

AUSTRALIAN PATENT OFFICE  
 PO BOX 200, WODEN ACT 2606, AUSTRALIA  
 E-mail address: pct@ipaaustralia.gov.au  
 Facsimile No. (02) 6285 3929

Authorized officer

**MATTHEW HOLLINGWORTH**  
 Telephone No : (02) 6283 2024

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No.

**PCT/AU2004/001072**

This Annex lists the known "A" publication level patent family members relating to the patent documents cited in the above-mentioned international search report. The Australian Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent Document Cited in Search Report			Patent Family Member				
WO	03065254	NONE					
WO	9741654	AU	23750/97	CA	2225727	EP	0864211
US	2003028461	NONE					
WO	0142884	AU	47164/01				
Due to data integration issues this family listing may not include 10 digit Australian applications filed since May 2001.							
END OF ANNEX							